PTO/SB/05 (4/98)

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53 (b))

Attorne	ey Docket No.	LEX-0042-USA				
	ventor or Applicat	ion	Gregory Donoho et el.			
Title Novel Human Protease Inhi Polynucleotides Encoding to			itor-Like Proteins and			
	1 dijilabal No	EL 504956		ጚቜ		

APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patent application contents  *Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)  X Specification [Total 25]  Pages (preferred arrangement set forth below  - Descriptive title of the Invention  - Cross References to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to Microfiche Appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the drawings (if filed)  - Detailed Description  - Claim(s)  - Abstract of the disclosure  Drawing(s)(35 U.S.C.113) [Total 1]  Dath or Declaration [Total 1]  a. X Newly unexecuted (original or copy)	ADDRESS TO:  Assistant Commissioner for Patents Box Patent Application Washington, DC 20231  5. Microfiche Computer Program (Appendix) 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Copy b. X Paper Copy (identical to computer copy) c. Statement verifying identity of above copies  ACCOMPANYING APPLICATION PARTS  7. Assignment Papers (cover sheet & document(s)) 8. (when there is an assignee) 9. English Translation Document (if applicable) 10. Information Disclosure Statement (IDS)/PTO-1449  11. Preliminary Amendment 12. X (Should be specifically itemized) 13. X *Small Entity Statement ifiled in prior application, Statement (Statement) 14. Statement Statement (Statement) 15. Assistant Commissioner for Patents 16. Assistant Commissioner for Patents 17. Assistant Commissioner for Patents 18. Computer Readable Copy 18. Assignment Verifying identity of above copies  ACCOMPANYING APPLICATION PARTS  7. Assignment Papers (cover sheet & document(s)) 18. The properties of the properties of the paper of Attorney 19. The properties of the paper of Attorney 10. Statement (IDS)/PTO-1449 11. Preliminary Amendment 12. X (Should be specifically itemized) 13. X *Small Entity Statement filed in prior application, Statement (IDS) (Statement filed in prior application, Statem
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i. DELETION OF INVENTOR(S) Signed statement attached deleting	Certified Copy of Priority Document(s) (if foreign priority is claimed)
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NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, SMALL ENTITY STATEMENT IS REQUIRED (37 CFR. § 127), EXCEPT IF	1
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Address	Zip Code
City Telephone	(281) 362-6554 Fax (281) 364-0155
Name (Print/Type) Lance K Shimoto	Registration No. 41866  Date Sept. 22, 2000

Signature

Date Sept. 22, 2000

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## UTILITY PATENT APPLICATION TRANSMITTAL

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Attorne	y Docket No.	LEX-0042-USA		<u>و</u>		
First Inventor or Application Identifier			Gregory Donoh	~%₩		
Title	Novel Human Protease Inhibitor-Like Proteins and Polynucleotides Encoding the Same					
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- Claim(s) - Abstract of the disclosure  Drawing(s)(35 U.S.C.113) [Total 1]  Oath or Declaration [Total 1]  a. X Newly unexecuted (original or copy)  b. Copy from a prior application (37 C.F.R. § 1.63 (tor continuation/divisional with Box 16 completed)  i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior applicat see 37 C.F.R. §§ 1.63(d)(2) and 1.33(	ation,	10.	Informati Stateme Prelimina Return F (Should *Small Er Stateme (PTO/B/A Certified (if foreig Other:	tion Disc ent (IDS) nary Ame Receipt d be spec Entity [ ent(s [ t/09-12) dd Copy of the control	closure 6)/PTO-1449 nendment t Postcard (N ecifically item Statem Status of Priority D rity is claime	MPEP 503) mized) nent filed in prior at still proper an Document(s)	opies of IDS litations  application,  and desired
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STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c)) -- SMALL BUSINESS CONCERN Docket Number (Optional) LEX-0042-USA

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Applicant, Patentee, or Identifier: Gregory Donoho et al.						
Application or Patent No.:						
Filed or Issued: September 22, 2000  Title: Novel Human Protease Inhibitor-Like Proteins and Polynucleotides Encoding the Same						
Title: Novel Human Protease Immotor Lines	l l					
I hereby state that I am  I hereby state that I am  The owner of the small business concern identified below:	}					
an official of the small business concern empowered to dot on the	_					
NAME OF SMALL BUSINESS CONCERN Lexicon Genetics Incorporated	1					
ADDRESS OF SMALL BUSINESS CONCERN 4000 Research Forest Drive, The Woodlands, TX 77381	12 CEB					
I hereby state that the above identified small business concern qualifies as a small business concern as defined in Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related Part 121 for purposes concern may be directed to: Small Business Administration, Size Standards Staff, 409 This standards for a small business concern may be directed to:	0.,00.,					
Sw, Washington, 55 25 11 1 1 1 2 1 2 1 2 1 2 1 2 1 2 1	dentified					
SW, Washington, DC 20416.  I hereby state that rights under contract or law have been conveyed to and remain with the small business concern above with regard to the invention described in:						
<ul> <li>the specification filed herewith with title as listed above.</li> <li>the application identified above.</li> <li>the patent identified above.</li> </ul>	anization					
If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization in the invention must file separate statements as to their status as small entities, and no rights to the inventor grights in the invention must file separate statements as to their status as small entities, and no rights to the inventor grights in the invention must file separate statements as to their status as small entities, and no rights to the invention and not greatly as an independent inventor under 37 CFR 1.9(c) if the held by any person, other than the inventor, who would not qualify as a small business concern under 37 CFR 1.9(d), or a greatly greatl						
Each person concern, or organization having any rights in the invention is listed below:	1					
and such person, concerns, or organization is noted by						
each such person, concerns, or organization to the invent	ion stating					
Separate statements are required from each named person, concern, or organization having rights to the invent						
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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee do to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee do date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))	enidement le after the					
NAME OF PERSON SIGNING Lance K. Ishimoto						
TITLE OF PERSON IF OTHER THAN OWNER Vice President - Intellectual Property						
ADDRESS OF PERSON SIGNANG 4000 Research Forest Drive, The Woodlands, TX 77381						
DATE September 22, 2000						
SIGNATURE STATE OF THE STATE OF						
Reg. No. 41,866						